

IMPROVEMENTS OF THE WEIL BOUND FOR ARTIN-SCHREIER CURVES

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ABSTRACT. For the Artin-Schreier curve $y^q - y = f(x)$ defined over a finite field \mathbb{F}_q of q elements, the celebrated Weil bound for the number of \mathbb{F}_{q^r} -rational points can be sharp, especially in super-singular cases and when r is divisible. In this paper, we show how the Weil bound can be significantly improved, using ideas from moment L -functions and Katz's work on ℓ -adic monodromy calculations. Roughly speaking, we show that in favorable cases (which happens quite often), one can remove an extra \sqrt{q} factor in the error term.

1. INTRODUCTION

Let $k = \mathbb{F}_q$ be a finite field of characteristic $p > 2$ with q elements, and let $f \in k[x]$ be a polynomial of degree $d > 1$. Without loss of generality, we can and will always assume that d is not divisible by p . Let C_f be the affine Artin-Schreier curve defined over k by

$$y^q - y = f(x).$$

Let r be a positive integer, and let $N_r(f)$ denote the number of \mathbb{F}_{q^r} -rational points on C_f . The genus of the smooth projective model of C_f is given by

$$g = (q - 1)(d - 1)/2.$$

The celebrated Weil bound in this case gives the estimate

$$|N_r(f) - q^r| \leq (d - 1)(q - 1)q^{\frac{r}{2}}.$$

This bound can be sharp in general, for instance when C_f is supersingular and r is divisible. If q^r is not a square, Serre's improvement [14] leads to a somewhat better bound:

$$|N_r(f) - q^r| \leq \frac{(d - 1)(q - 1)}{2} [2q^{\frac{r}{2}}],$$

where $[x]$ denotes the integer part of a real number x .

In this paper, we shall show that if q is large compared to d (and thus the genus $g = (d - 1)(q - 1)/2$ is small compared to the field size q^r with $r \geq 2$), then the above Weil bound can be significantly improved in many cases.

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The type of theorems we prove is of the following nature. For simplicity, we just state one special case.

Theorem 1.1. *Let $r \geq 1$ and $p > 2$. If the derivative f' is square-free and either r is odd or the hypersurface $f(x_1) + \cdots + f(x_r) = 0$ in \mathbb{A}_k^r is non-singular, then we have the estimate*

$$|N_r(f) - q^r| \leq C_{d,r} q^{\frac{r+1}{2}},$$

where $C_{d,r}$ is the constant

$$C_{d,r} = \sum_{a=0}^r |a-1| \binom{d-2+r-a}{r-a} \binom{d-1}{a}.$$

Note that the constant $C_{d,r}$ is independent of q and it is a polynomial in d with degree r . Thus, for fixed d and r , our result essentially removes an extra \sqrt{q} factor from Weil's bound. The non-singularity hypothesis cannot be dropped in general, as there are cases for r even where we can have

$$|N_r(f) - (q^r + q^{\frac{r}{2}+1})| \leq C_{d,r} q^{\frac{r+1}{2}},$$

see section 4 for more details. This gives further examples that the q -factor in the Weil bound cannot be replaced by an $O(\sqrt{q})$ factor in general.

As an extreme illustration, we consider the elementary case that $r = 1$. It is clear that $N_1(f) = qn_f$, where n_f is the number of distinct roots of $f(x)$ in \mathbb{F}_q which is at most d . Thus, the best estimate in this case should be

$$|N_1(f) - q| \leq (d-1)q,$$

which is precisely what our bound gives! It is far better than the Weil bound

$$|N_1(f) - q| \leq (d-1)(q-1)\sqrt{q}.$$

For $r = 2$, our bound takes the form

$$|N_2(f) - q^2| \leq (d-1)^2 q^{3/2},$$

which is better than the Weil bound

$$|N_2(f) - q^2| \leq (d-1)(q-1)q$$

as soon as $q \geq (d-1)^2 + 3$. For $r = 3$, our bound takes the form

$$|N_3(f) - q^3| \leq (d-1)(d^2 - 3d + 3)q^2,$$

which is better than the Weil bound

$$|N_3(f) - q^3| \leq (d-1)(q-1)q^{3/2}$$

as soon as $q \geq (d^2 - 3d + 4)^2$.

Our idea is to translate $N_r(f)$ to moment exponential sums and then calculate the associated moment L -function as explicitly as possible. Let ψ be a fixed non-trivial additive character of k . For $f \in k[x]$, it is clear that we have the formula

$$N_r(f) = \sum_{t \in k} \sum_{x \in k^r} \psi(\text{Tr}(tf(x))),$$

where $k_r = \mathbb{F}_{q^r}$ and Tr denotes the trace map from k_r to k . Separating the term from $t = 0$, we obtain

$$(1) \quad N_r(f) - q^r = \sum_{t \in k^\star} \sum_{x \in k_r} \psi(\text{Tr}(tf(x))).$$

Now, Weil's bound for exponential sums gives the estimate

$$\left| \sum_{x \in k_r} \psi(\text{Tr}(tf(x))) \right| \leq (d-1)q^{\frac{r}{2}}$$

for every $t \in k^\star$. It follows that

$$|N_r(f) - q^r| \leq (q-1)(d-1)q^{\frac{r}{2}}.$$

In order to improve this bound, we need to understand the cancelation of the outer sum of (1) over $t \in k^\star$. Heuristically, one expects that the outer sum contributes another $O(\sqrt{q})$ factor instead of the trivial q factor, if f is sufficiently "random". This is in fact what we shall prove using the full strength of Deligne's general theorem on Riemann hypothesis.

The double sum in (1) is precisely a moment exponential sum associated to the two variable polynomial $tf(x)$. Thus, we can use the techniques of moment L -functions to get improved information about the solution number $N_r(f)$. We now briefly outline our method. Let ℓ be a fixed prime different from p . Let \mathcal{G}_f denote the relative ℓ -adic cohomology with compact support associated to the family of one variable exponential sums attached to $tf(x)$, where x is the variable and t is the parameter on the torus \mathbb{G}_m . Applying the ℓ -adic trace formula fibre by fibre, we obtain

$$\sum_{t \in k^\star} \sum_{x \in k_r} \psi(\text{Tr}(tf(x))) = - \sum_{t \in k^\star} \text{Tr}(\text{Frob}_q^r | (\mathcal{G}_f)_t),$$

where $(\mathcal{G}_f)_t$ is the fibre of \mathcal{G}_f at t , and Frob_q is the geometric q -th power Frobenius map. Alternatively, one can rewrite

$$\text{Tr}(\text{Frob}_q^r | (\mathcal{G}_f)_t) = \text{Tr}(\text{Frob}_q | [\mathcal{G}_f]_t^r),$$

where $[\mathcal{G}_f]^r$ denotes the r -th Adams operation of \mathcal{G}_f . It is a virtual ℓ -adic sheaf on \mathbb{G}_m . For example, Katz [9] used the formula

$$[\mathcal{G}_f]^r = \sum_{i=1}^r (-1)^{i-1} i \cdot \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f.$$

We shall use the following optimal formula from [17] given by

$$[\mathcal{G}_f]^r = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f.$$

Note that the term $i = 0$ does not occur in the first formula, and the term $i = 1$ does not occur in the second formula as the coefficient becomes zero for $i = 1$. The coefficients of the second formula are smaller and thus lead

to fewer number of zeros and poles for the corresponding L -functions. In this way, we get the smaller constant $C_{d,r}$ in Theorem 1.1.

It follows that

$$N_r(f) - q^r = \sum_{i=0}^r (-1)^i (i-1) \cdot \sum_{t \in k^\star} \text{Tr}(\text{Frob}_q | (\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)_t).$$

This reduces our problem to the study of the L -function over \mathbb{G}_m of the ℓ -adic sheaves $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ for all $0 \leq i \leq r$. By general results of Deligne [3], we deduce that

$$|N_r(f) - (q^r + \delta_{f,r} q^{\frac{r+1}{2}})| \leq C_{d,r} q^{\frac{r+1}{2}},$$

where $C_{d,r}$ comes from the Euler characteristic of the components of the virtual sheaf $[\mathcal{G}_f]^r$, and

$$\delta_{f,r} = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \dim H_c^2(\mathbb{G}_{m,\bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f).$$

Under the conditions of Theorem 1.1, it follows that the sheaf $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ has no geometrically trivial component for any $0 \leq i \leq r$, and thus we deduce that $\delta_{f,r} = 0$.

Our main result is somewhat stronger. We determine the weights, the trivial factors and the degrees of the L -functions of all the sheaves $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$, thus obtaining a fairly complete information about the associated moment L -function, see [5][6] and [13] for the study of moment L -functions in two other examples, namely, the family of hyper-Kloosterman sums and the Dwork family of toric Calabi-Yau hypersurfaces. Under slightly more general hypotheses, Katz's results on monodromy group calculations [7][8] give stronger results which lead to further improvements of Theorem 1.1 (see Corollaries 4.2 and 4.6). See also [9] for a result on the average number of rational points on hypersurfaces obtained using a similar approach.

The possibility of our improvement for the Weil bound in the case of Artin-Schreier curves is due to the fact that the curve has a large automorphism group \mathbb{F}_q , which is the group of \mathbb{F}_q -rational points on the group scheme \mathbb{A}^1 . We expect that similar improvements should exist for many other curves (or higher dimensional varieties) with a large automorphism group. For example, in the last section of this paper we treat the case of Artin-Schreier hypersurfaces

$$y^q - y = f(x_1, \dots, x_n).$$

This method leads to similar improvements of Deligne's bound for such hypersurfaces in many cases. As an explicit new example to try, we would suggest the affine Kummer curve of the form

$$y^{\frac{(q-1)}{e}} = f(x),$$

where e is a fixed positive integer, q is a prime power congruent to 1 modulo e , and $f(x) \in k[x]$ is a polynomial of degree d . For $r \geq 1$ and $N_r(f, e)$

denoting the number of \mathbb{F}_{q^r} -rational points on the above Kummer curve, we conjecture that for certain generic f , there is the following estimate

$$|N_r(f, e) - q^r| \leq s_{d,e,r} q^{\frac{r+1}{2}},$$

where $s_{d,e,r}$ is a constant independent of q . We do not know how to prove this conjecture, even in the case $e = 1$.

To conclude this introduction, we raise another open problem. In Theorem 1, we assumed that the curve $C_f : y^q - y = f(x)$ is defined over the subfield \mathbb{F}_q of \mathbb{F}_{q^r} . We believe that similar improvement is also true if C_f is defined over the larger field \mathbb{F}_{q^r} . But we could not prove this at present.

Remarks. Weil's estimate gives both an upper bound and a lower bound for the number of rational points on a curve of genus g over the finite field \mathbb{F}_q . Improvements for the lower bound are in general harder to get. Improvements for the upper bound can often be obtained by more elementary means. In fact, there are already several such results in the literature for large genus curves. The first result along these lines is due to Stark [15] in the hyperelliptic case, using Stepanov's method. Using the explicit formula, Drinfeld-Vladut and Serre [14] obtained an upper bound improvement when $2g > q^r - q^{r/2}$, which in our Artin-Schreier setting becomes

$$(d-1)(q-1) > q^r - q^{r/2}.$$

For $r > 1$, this means that q must be small compared to d . In comparison, our improvements apply when q is large compared to d . Using a geometric intersection argument, Stöher-Voloch [16] obtained another upper bound which in our case becomes

$$N_r(f) \leq \frac{1}{2}D(D + q^r - 1),$$

where $D = \max(d, q)$.

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2. COHOMOLOGY OF THE FAMILY $t \mapsto \sum \psi(\text{Tr}(tf(x)))$

Let $k = \mathbb{F}_q$ be a finite field of characteristic p , and $f \in k[x]$ a polynomial of degree d prime to p . Let C_f be the Artin-Schreier curve defined on \mathbb{A}_k^2 by the equation

$$(2) \quad y^q - y = f(x)$$

and denote by $N_r(f)$ its number of rational points over $k_r := \mathbb{F}_{q^r}$.

Fix a non-trivial additive character $\psi : k \rightarrow \mathbb{C}^*$. It is clear that

$$(3) \quad N_r(f) = \sum_{t \in k} \sum_{x \in k_r} \psi(t \cdot \text{Tr}(f(x))) = \sum_{t \in k} \sum_{x \in k_r} \psi(\text{Tr}(tf(x)))$$

where Tr denotes the trace map $k_r \rightarrow k$.

Fix a prime $\ell \neq p$ and an isomorphism $\iota : \bar{\mathbb{Q}}_\ell \rightarrow \mathbb{C}$. Consider the Galois étale cover of $\mathbb{G}_m \times \mathbb{A}^1$ (with coordinates (t, x)) given by $u - u^q = tf(x)$, with

Galois group k ; and let $\mathcal{L}_{\psi(tf(x))}$ be the rank 1 smooth $\bar{\mathbb{Q}}_\ell$ -sheaf corresponding to the representation of k given by ψ^{-1} via ι . Define $K_f = R\pi_! \mathcal{L}_{\psi(tf(x))} \in \mathcal{D}_c^b(\mathbb{G}_{m,k}, \bar{\mathbb{Q}}_\ell)$, where $\pi : \mathbb{G}_m \times \mathbb{A}^1 \rightarrow \mathbb{G}_m$ is the projection. The trace formula implies that the trace of the action of the r -th power of a local geometric Frobenius element at $t \in k^\star$ on K_f is given by $\sum_{x \in k_r} \psi(\text{Tr}(tf(x)))$.

It is known [3, 3.7] that $K_f = \mathcal{G}_f[-1]$ for a smooth sheaf \mathcal{G}_f of rank $d-1$ and punctually pure of weight 1, whose local r -th power Frobenius trace at $t \in k^\star$ is then given by $-\sum_{x \in k_r} \psi(\text{Tr}(tf(x)))$. Therefore

$$(4) \quad \begin{aligned} N_r(f) - q^r &= \sum_{t \in k^\star} \sum_{x \in k_r} \psi(\text{Tr}(tf(x))) \\ &= - \sum_{t \in k^\star} \text{Tr}(\text{Frob}_t^r | (\mathcal{G}_f)_t) = - \sum_{t \in k^\star} \text{Tr}(\text{Frob}_t | [\mathcal{G}_f]_t^r) \end{aligned}$$

where

$$[\mathcal{G}_f]^r = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$$

is the r -th Adams operation on \mathcal{G}_f .

The sheaf \mathcal{G}_f can also be interpreted in terms of the Fourier transform. Consider the sheaf $f_\star \bar{\mathbb{Q}}_\ell$ on \mathbb{A}_k^1 . There is a canonical surjective trace map $\phi : f_\star \bar{\mathbb{Q}}_\ell = f_\star f^\star \bar{\mathbb{Q}}_\ell \rightarrow \bar{\mathbb{Q}}_\ell$, let \mathcal{F}_f be its kernel. It is a constructible sheaf of generic rank $d-1$ on \mathbb{A}_k^1 .

Lemma 2.1. *If $j : \mathbb{G}_{m,k} \rightarrow \mathbb{A}_k^1$ is the inclusion, the shifted sheaf $j_! \mathcal{G}_f[1]$ is the Fourier transform of $\mathcal{F}_f[1]$ with respect to ψ .*

Proof. Taking Fourier transform in the distinguished triangle in $\mathcal{D}_c^b(\mathbb{A}_k^1, \bar{\mathbb{Q}}_\ell)$:

$$\mathcal{F}_f[1] \rightarrow f_\star \bar{\mathbb{Q}}_\ell[1] \rightarrow \bar{\mathbb{Q}}_\ell[1] \rightarrow$$

we get a distinguished triangle:

$$FT_\psi(\mathcal{F}_f)[1] \rightarrow FT_\psi(f_\star \bar{\mathbb{Q}}_\ell)[1] \rightarrow (\bar{\mathbb{Q}}_\ell)_0(-1)[0] \rightarrow .$$

where $(\bar{\mathbb{Q}}_\ell)_0$ is a punctual sheaf supported at 0. If $\mu : \mathbb{A}^1 \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$ is the multiplication map, the Fourier transform of $f_\star \bar{\mathbb{Q}}_\ell[1]$ is given by $R\pi_{1!}(\pi_2^\star f_\star \bar{\mathbb{Q}}_\ell \otimes \mu^\star \mathcal{L}_\psi)[2] = R\pi_{1!}(\mathcal{L}_{\psi(tf(x))})[2]$, where $\pi_i : \mathbb{A}^1 \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$ are the projections. In particular, by proper base change $j^\star FT_\psi(f_\star \bar{\mathbb{Q}}_\ell)[1] = j^\star R\pi_{1!}(\mathcal{L}_{\psi(tf(x))})[2] = K_f[2] = \mathcal{G}_f[1]$. Applying j^\star to the triangle above we find quasi-isomorphisms

$$j^\star FT_\psi(\mathcal{F}_f)[1] \cong \mathcal{G}_f[1]$$

and

$$j_! j^\star FT_\psi(\mathcal{F}_f)[1] \cong j_! \mathcal{G}_f[1].$$

To conclude, it remains to show that the natural map $j_! j^\star FT_\psi(\mathcal{F}_f)[1] \rightarrow FT_\psi(\mathcal{F}_f)[1]$ is a quasi-isomorphism. Since its restriction to $\mathbb{G}_{m,k}$ is a quasi-isomorphism, we only need to check that it induces a quasi-isomorphism on the stalks at (a geometric point over) 0, that is, that $FT_\psi(\mathcal{F}_f)_0 = 0$.

By definition of the Fourier transform, $FT_\psi(\mathcal{F}_f)_0 = R\Gamma_c(\mathbb{A}_k^1, \mathcal{F}_f)$. We conclude by using the long exact sequence of cohomology with compact support associated to the sequence

$$0 \rightarrow \mathcal{F}_f \rightarrow f_* \bar{\mathbb{Q}}_\ell \rightarrow \bar{\mathbb{Q}}_\ell \rightarrow 0,$$

since $H_c^i(\mathbb{A}_k^1, f_* \bar{\mathbb{Q}}_\ell) = H_c^i(\mathbb{A}_k^1, \bar{\mathbb{Q}}_\ell) = 0$ for $i \neq 2$ and $H_c^2(\mathbb{A}_k^1, f_* \bar{\mathbb{Q}}_\ell) = H_c^2(\mathbb{A}_k^1, \bar{\mathbb{Q}}_\ell) = \bar{\mathbb{Q}}_\ell(-1)$ is one-dimensional. \square

We can now use Laumon's local Fourier transform theory to determine the monodromy actions at 0 and ∞ for \mathcal{G}_f . Recall that, for every character $\chi : k^\star \rightarrow \bar{\mathbb{Q}}_\ell^\star$, there is an associated Kummer sheaf \mathcal{L}_χ on $\mathbb{G}_{m,k}$: The $(q-1)$ -th power map $\mathbb{G}_{m,k} \rightarrow \mathbb{G}_{m,k}$ is a Galois étale cover with Galois group canonically isomorphic to k^\star , and one just takes the pull-back of the character $\bar{\chi}$ to $\pi_1(\mathbb{G}_{m,k}, \bar{\eta}) \twoheadrightarrow k^\star$. For every $d|q-1$, if $[d]$ denotes the d -th power map $\mathbb{G}_{m,k} \rightarrow \mathbb{G}_{m,k}$ we have $[d]_* \bar{\mathbb{Q}}_\ell = \bigoplus \mathcal{L}_\chi$, where the sum is taken over all characters of k^\star such that χ^d is trivial.

Assume that k contains all d -th roots of unity. The sheaf \mathcal{F}_f is smooth on the complement U of the set of the critical values of f in \mathbb{A}^1 . Since d is prime to p , in a neighborhood of infinity the map $x \mapsto f(x) = a_d x^d (1 + \frac{a_{d-1}}{a_d x} + \dots + \frac{a_0}{a_d x^d})$ is equivalent (for the étale topology) to the map $x \mapsto a_d x^d$ (just by making the change of variable $x \mapsto \alpha x$, where $\alpha^d = 1 + \frac{a_{d-1}}{a_d x} + \dots + \frac{a_0}{a_d x^d}$). In particular, the decomposition group D_∞ at infinity acts on the generic stalk of $f_* \bar{\mathbb{Q}}_\ell$ through the direct sum of the tame characters $(a_d)_* \mathcal{L}_\chi$ for all non-trivial characters χ of k^\star such that $\chi^d = \mathbf{1}$, where $(a_d) : \mathbb{G}_{m,k} \rightarrow \mathbb{G}_{m,k}$ is the multiplication by a_d map. Since $(a_d)_* \mathcal{L}_\chi = (a_d^{-1})^* \mathcal{L}_\chi = \bar{\chi}(a_d)^{deg} \otimes \mathcal{L}_\chi$, we conclude that D_∞ acts on the generic stalk of \mathcal{F}_f via the direct sum $\bigoplus \bar{\chi}(a_d)^{deg} \otimes \mathcal{L}_\chi$ taken over all non-trivial characters χ of k^\star such that χ^d is trivial.

Proposition 2.2. *Suppose that k contains all d -th roots of unity. The action of the decomposition group D_0 at 0 on \mathcal{G}_f is tame and semisimple, and it splits as a direct sum $\bigoplus (\chi(a_d)g(\bar{\chi}, \psi))^{deg} \otimes \mathcal{L}_\chi$ over all non-trivial characters χ of k^\star such that $\chi^d = \mathbf{1}$, where $g(\bar{\chi}, \psi) := -\sum_t \bar{\chi}(t)\psi(t)$ is the Gauss sum.*

Proof. By ([12, Proposition 2.5.3.1], [8, Theorem 7.5.4]), the local monodromy at 0 of \mathcal{G}_f can be read from the local monodromy at infinity of \mathcal{F}_f . More precisely, we have $LFT^{(\infty, 0)}(\bigoplus \bar{\chi}(a_d)^{deg} \otimes \mathcal{L}_\chi) = \bigoplus LFT^{(\infty, 0)}(\bar{\chi}(a_d)^{deg} \otimes \mathcal{L}_\chi)$. Now, for every χ , since the Fourier transform commutes with tensoring by an unramified sheaf (by the projection formula, since $\pi_1^*(\alpha^{deg}) = \alpha^{deg}$ and $\mu^*(\alpha^{deg}) = \alpha^{deg}$ for π_1 and $\mu : \mathbb{A}_k^1 \times \mathbb{A}_k^1 \rightarrow \mathbb{A}_k^1$ the projection and multiplication) we have $LFT^{(\infty, 0)}(\bar{\chi}(a_d)^{deg} \otimes \mathcal{L}_\chi) = \bar{\chi}(a_d)^{deg} \otimes LFT^{(\infty, 0)} \mathcal{L}_\chi = \bar{\chi}(a_d)^{deg} \otimes g(\chi, \psi)^{deg} \otimes \mathcal{L}_{\bar{\chi}}$ by [12, Proposition 2.5.3.1] (note that \mathcal{L}_χ corresponds to $V_{\bar{\chi}}$ as a representation of D_∞ and to V'_χ as a representation of D_0 in the notation of [12] due to the choice of uniformizers). \square

For simplicity, we will assume from now on that f' is square-free and $p > 2$. Suppose that k contains all roots of f' (and therefore all critical values of f). Let $s \in k$ be a critical value of f . The polynomial $f_s := f - s$ has at worst double roots and k contains all its double roots. Let g_s be the square-free part of f_s (i.e. f_s divided by the product of all its monic double linear factors), which lies in $k[x]$. Let S_0 be the henselization of \mathbb{A}_k^1 at s , $z_1, \dots, z_e \in k$ the double roots of f_s , S_j the henselization of \mathbb{A}_k^1 at z_j for $j = 1, \dots, e$ and T the union of the henselizations of \mathbb{A}_k^1 at the closed points of the subscheme defined by $g_s = 0$. We have a cartesian diagram

$$\begin{array}{ccc} (\coprod_j S_j) \coprod T & \longrightarrow & \mathbb{A}_k^1 \\ \downarrow (\coprod_j h_j) \coprod h & & \downarrow f \\ S_0 & \longrightarrow & \mathbb{A}_k^1 \end{array}$$

where the map $h_j : S_j \rightarrow S_0$ is isomorphic (for the étale topology) to the map $x \mapsto b_j(x - z_j)^2$ (where b_j is $f_s(x)/(x - z_j)^2$ evaluated at z_j , that is, $f''(z_j)/2$) via the change of variable mapping the local coordinate $x - z_j$ to $\alpha(x - z_j)$, where $\alpha \in S_j$ is a square root of $f_s(x)/b_j(x - z_j)^2$ (which exists by Hensel's lemma, since its image in the residue field k is 1), and $h : T \rightarrow S_0$ is finite étale. In particular, the decomposition group D_s at s acts on the generic stalk of $f_* \bar{\mathbb{Q}}_\ell$ through the direct sum $\bigoplus_j (\mathbf{1} \oplus (b_j)_* \mathcal{L}_\rho) \oplus L = \bigoplus_j (\rho(b_j)^{\deg} \otimes \mathcal{L}_\rho) \oplus (e \cdot \mathbf{1} \oplus L)$ where L is unramified and $\rho = \bar{\rho} : k^\star \rightarrow \bar{\mathbb{Q}}_\ell^\star$ is the quadratic character.

Proposition 2.3. *Suppose that $p > 2$, f' is square-free and all its roots are in k . The action of the decomposition group D_∞ at infinity on \mathcal{G}_f splits as a direct sum $\bigoplus_z (\rho(b_z)g(\rho, \psi))^{\deg} \otimes \mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{f(z)}}$ where the sum is taken over the roots of f' , $b_z = f''(z)/2$, $\rho : k^\star \rightarrow \bar{\mathbb{Q}}_\ell^\star$ is the quadratic character and $g(\rho, \psi) = -\sum_t \rho(t)\psi(t)$ the corresponding Gauss sum.*

Proof. By ([12], [8, Theorem 7.5.4]), the local monodromy at infinity of \mathcal{G}_f can be read from the local monodromies of \mathcal{F}_f . More precisely, the part of slope > 1 corresponds to the slope > 1 part of the local monodromy at infinity of \mathcal{F}_f , so it vanishes. The part of slope ≤ 1 is a direct sum, over all critical values s of f , of \mathcal{L}_{ψ_s} tensored with the local Fourier transform $LFT^{(0, \infty)}$ applied to the action of I_s on the generic stalk of \mathcal{F}_f modulo its I_s -invariant space.

Using [12, 2.5.3.1] and the fact that Fourier transform commutes with tensoring by unramified sheaves, for every root z of f' $LFT^{(0, \infty)}(\rho(b_z)^{\deg} \otimes \mathcal{L}_\rho) = \rho(b_z)^{\deg} \otimes g(\rho, \psi)^{\deg} \otimes \mathcal{L}_\rho$. So each critical value s contributes a factor $\bigoplus_{f(z)=s} (\rho(b_z)g(\rho, \psi))^{\deg} \otimes \mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$ to the monodromy of \mathcal{G}_f at infinity. \square

We can now compute the determinant of \mathcal{G}_f :

Corollary 2.4. *Suppose that k contains all d -th roots of unity. If d is odd, the determinant of \mathcal{G}_f is the Tate-twisted Artin-Schreier sheaf $\mathcal{L}_{\psi_s}((1 -$*

$d)/2$), where $s = s_1 + \cdots + s_{d-1}$ is the sum of the critical values of f and $\psi_s(t) = \psi(st)$. If d is even, the determinant of \mathcal{G}_f is $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s} \otimes (\epsilon \rho(a_d)g(\rho, \psi))^{\deg((2-d)/2)}$, where ρ is the multiplicative character of order 2, $g(\rho, \psi) = -\sum_t \rho(t)\psi(t)$ is the corresponding Gauss sum, a_d is the leading coefficient of f , $\epsilon = 1$ if $d \equiv 0$ or $2 \pmod{8}$ and $\epsilon = (-1)^{(q-1)/d}$ if $d \equiv 4$ or $6 \pmod{8}$.

Proof. The determinant of \mathcal{G}_f is a smooth sheaf of rank one on $\mathbb{G}_{m,k}$. At 0, it is isomorphic by proposition 2.2 to the product $\bigotimes_{\chi^d=1, \chi \neq 1} ((\chi(a_d)g(\bar{\chi}, \psi))^{\deg} \otimes \mathcal{L}_\chi)$. For any χ we have $((\chi(a_d)g(\bar{\chi}, \psi))^{\deg} \otimes \mathcal{L}_\chi) \otimes ((\bar{\chi}(a_d)g(\chi, \psi))^{\deg} \otimes \mathcal{L}_{\bar{\chi}}) = (g(\bar{\chi}, \psi)g(\chi, \psi))^{\deg} = (\chi(-1)q)^{\deg}$. If d is odd, the non-trivial characters with $\chi^d = 1$ can be grouped in conjugate pairs. Moreover, $\chi(-1) = \chi((-1)^d) = \chi^d(-1) = 1$. We conclude that the determinant at 0 is the unramified character $(q^{\frac{d-1}{2}})^{\deg} = \bar{\mathbb{Q}}_\ell(\frac{1-d}{2})$. At infinity, it is geometrically isomorphic by proposition 2.3 to the product $\bigotimes_z (\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{f(z)}}) = \mathcal{L}_{\psi_s}$ (the hypothesis that k contains all roots of f' is not needed for the *geometric* isomorphism, since it is always satisfied in a sufficiently large finite extension of k). So $\det(\mathcal{G}_f) \otimes \mathcal{L}_{\psi_s}$ is everywhere unramified and therefore geometrically constant. Looking at the Frobenius action at 0, it must be $\bar{\mathbb{Q}}_\ell(\frac{1-d}{2})$, so $\det(\mathcal{G}_f) = \mathcal{L}_{\psi_s}(\frac{1-d}{2})$.

If d is even, the factor at 0 corresponding to the quadratic character ρ stays unmatched, so as a representation of D_0 the determinant is $(\epsilon q^{\frac{d-2}{2}})^{\deg} \otimes (\rho(a_d)g(\rho, \psi))^{\deg} \otimes \mathcal{L}_\rho$, where $\epsilon = \prod_{i=1}^{(d-2)/2} \chi^i(-1)$ for a fixed character χ of exact order d . At ∞ it is geometrically isomorphic to $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$, so $\det(\mathcal{G}_f) \otimes \mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$ is everywhere unramified and therefore geometrically constant. Looking at the Frobenius action at 0, it must be $(\epsilon q^{\frac{d-2}{2}} \rho(a_d)g(\rho, \psi))^{\deg}$, so $\det(\mathcal{G}_f) = \mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s} \otimes (\epsilon \rho(a_d)g(\rho, \psi))^{\deg(\frac{2-d}{2})}$.

It remains to compute the value of ϵ . We have

$$\epsilon = \prod_{i=1}^{(d-2)/2} \chi^i(-1) = \chi^{d(d-2)/8}(-1) = \chi((-1)^{d(d-2)/8}).$$

If $d \equiv 0$ or $2 \pmod{8}$, $d(d-2)/8$ is even and therefore $\epsilon = 1$. If $d \equiv 4$ or $6 \pmod{8}$, $d(d-2)/8$ is odd so $\epsilon = \chi(-1) = (-1)^{(q-1)/d}$. \square

3. THE MOMENT L -FUNCTION OF \mathcal{G}_f .

Recall the definition [4] of the moment L -function for the sheaf \mathcal{G}_f . For a fixed $r \geq 1$, let

$$L^r(f, \psi, T) := \prod_{t \in |\mathbb{G}_{m,k}|} \frac{1}{\det(1 - \text{Frob}_t^r T^{\deg(t)} | (\mathcal{G}_f)_t)},$$

where $|\mathbb{G}_{m,k}|$ denotes the set of closed points of $\mathbb{G}_{m,k}$.

It is known ([4, Theorem 1.1]) that $L^r(f, \psi, T)$ is a rational function, and we have the formula

$$(5) \quad L^r(f, \psi, T) = \frac{\det(1 - \text{Frob}_k T | H_c^1(\mathbb{G}_{m, \bar{k}}, [\mathcal{G}_f]^r))}{\det(1 - \text{Frob}_k T | H_c^2(\mathbb{G}_{m, \bar{k}}, [\mathcal{G}_f]^r))} = \frac{\prod_{i=0}^r \det(1 - \text{Frob}_k T | H_c^1(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))^{(-1)^{i-1}(i-1)}}{\prod_{i=0}^r \det(1 - \text{Frob}_k T | H_c^2(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))^{(-1)^{i-1}(i-1)}}.$$

Thus, we get a decomposition

$$(6) \quad L^r(f, \psi, T) = \frac{Q(T)P_0(T)P_\infty(T)}{P(T)P'(T)}.$$

We now describe each of the factors in this decomposition.

First,

$$Q(T) = \prod_{i=0}^r \det(1 - \text{Frob}_k T | H^1(\mathbb{P}_{\bar{k}}^1, j_\star(\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)))^{(-1)^{i-1}(i-1)}$$

is the non-trivial factor. Notice that the dual of \mathcal{G}_f is $\mathcal{G}_{-f}(1)$, since \mathcal{F}_f is self-dual and $D \circ FT_\psi = FT_{\bar{\psi}} \circ D(1)$ [11, Corollaire 2.1.5] and $FT_{\bar{\psi}} \mathcal{F}_f[1] = [t \mapsto -t]^\star \mathcal{G}_f[1] = \mathcal{G}_{-f}[1]$. Therefore the dual of $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ is $\text{Sym}^{r-i} \mathcal{G}_{-f} \otimes \wedge^i \mathcal{G}_{-f}(r)$, so the dual (in the derived category) of $j_\star \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f[1]$ is $j_\star \text{Sym}^{r-i} \mathcal{G}_{-f} \otimes \wedge^i \mathcal{G}_{-f}[1](r+1)$, cf. [2, 2.1]. Since \mathbb{P}^1 is proper, by [2, Théorème 2.2] we get a perfect pairing

$$H^1(\mathbb{P}_{\bar{k}}^1, j_\star(\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)) \times H^1(\mathbb{P}_{\bar{k}}^1, j_\star(\text{Sym}^{r-i} \mathcal{G}_{-f} \otimes \wedge^i \mathcal{G}_{-f})) \mapsto \bar{\mathbb{Q}}_\ell(-r-1)$$

for every $i = 0, \dots, r$.

In particular, we get a functional equation relating the polynomial

$$Q_i(T) := \det(1 - \text{Frob}_k T | H^1(\mathbb{P}_{\bar{k}}^1, j_\star(\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))) = \prod_{j=1}^{s_i} (1 - \gamma_{ij} T).$$

and the corresponding polynomial $Q_i^\star(T)$ for $-f$. The functional equation is given by

$$\begin{aligned} Q_i^\star(T) &= \prod_{j=1}^{s_i} (1 - q^{r+1} \gamma_{ij}^{-1} T) = \\ &= \frac{T^{s_i} q^{(r+1)s_i}}{(-1)^{s_i} \gamma_{i1} \cdots \gamma_{is_i}} \prod_{j=1}^{s_i} (1 - \gamma_{ij} q^{-(r+1)} T^{-1}) = \frac{T^{s_i} q^{(r+1)s_i}}{c_{s_i}} Q_i(q^{-(r+1)} T^{-1}) \end{aligned}$$

where c_{s_i} is the leading coefficient of $Q_i(T)$. Therefore,

$$Q^\star(T) := \prod_{i=0}^r Q_i^\star(T)^{(-1)^{i-1}(i-1)} = \frac{T^s q^{(r+1)s}}{c_s} Q(q^{-(r+1)} T^{-1})$$

where s is the degree of the rational function $Q(T)$ and c_s its leading coefficient (i.e. the ratio of the leading coefficients of the numerator and denominator). By [3, Théorème 3.2.3], all reciprocal roots and poles of $Q(T)$ are pure Weil integers of weight $r + 1$.

The other factors of $L^r(f, \psi, T)$ are the “trivial factors”:

$$P(T) = \prod_{i=0}^r \det(1 - \text{Frob}_k T | H^0(\mathbb{P}_k^1, j_* (\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)))^{(-1)^{i-1}(i-1)}$$

and

$$P'(T) = \prod_{i=0}^r \det(1 - \text{Frob}_k T | H^2(\mathbb{P}_k^1, j_* (\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)))^{(-1)^{i-1}(i-1)}$$

are rational functions of the same degree and pure of weight r and $r + 2$ respectively, and vanish if $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ has no invariants for the action of $\pi_1(\mathbb{G}_{m, \bar{k}})$ for any i . The other two are the local factors at 0:

$$\begin{aligned} P_0(T) &:= \det(1 - \text{Frob}_0 T | ([\mathcal{G}_f]^r)^{I_0}) = \\ &= \prod_{i=0}^r \det(1 - \text{Frob}_0 T | (\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)^{I_0})^{(-1)^{i-1}(i-1)} \end{aligned}$$

and at infinity:

$$\begin{aligned} P_\infty(T) &:= \det(1 - \text{Frob}_\infty T | ([\mathcal{G}_f]^r)^{I_\infty}) = \\ &= \prod_{i=0}^r \det(1 - \text{Frob}_\infty T | (\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)^{I_\infty})^{(-1)^{i-1}(i-1)}. \end{aligned}$$

We now compute the local factors explicitly.

Corollary 3.1. (Local factor at 0 of the moment L -function) *Suppose that k contains all d -th roots of unity. For any positive integer $r \geq 1$, the local factor at 0 of the r -th moment L -function for \mathcal{G}_f is given by*

$$\det(1 - \text{Frob}_0 T | ([\mathcal{G}_f]^r)^{I_0}) = \prod_{\chi} (1 - g(\chi, \psi)^r T)$$

where the product is taken over all non-trivial characters χ of k^\star such that $\chi^e = \mathbf{1}$ for $e = \gcd(d, r)$ and $g(\chi, \psi) = -\sum_t \chi(t) \psi(t)$ is the corresponding Gauss sum.

Proof. As a representation of the inertia group I_0 , \mathcal{G}_f is the direct sum $\bigoplus_{i=1}^{d-1} \mathcal{L}_{\chi^i}$ for a character χ of order d . So in the Grothendieck group of $\bar{\mathbb{Q}}_\ell[I_0]$ -modules we have $[\mathcal{G}_f]^r = \bigoplus_{i=1}^{d-1} \mathcal{L}_{\chi^i}^{\otimes r} = \bigoplus_{i=1}^{d-1} \mathcal{L}_{\chi^{ir}}$. For a given i , $\mathcal{L}_{\chi^{ir}}$ is trivial as a representation of I_0 if and only if χ^{ir} is trivial, that is, if and only if ir is a multiple of d .

Writing $d = d'e$ with $e = \gcd(d, r)$. The trivial summands correspond to $i = d', 2d', \dots, (e-1)d'$. The characters χ^i are then exactly the non-trivial characters of k^\star whose e -th power is trivial, and the corresponding

Frobenius eigenvalues are $(\chi^i(a_d)g(\bar{\chi}^i, \psi))^r = \chi^{ir}(a_d)g(\bar{\chi}^i, \psi)^r = g(\bar{\chi}^i, \psi)^r$ by proposition 2.2. \square

Corollary 3.2. (Local factor at ∞ of the moment L -function) *Suppose that $p > 2$, f' is square-free and all its roots are in k . For any positive integer $r \geq 1$, the local factor at ∞ of the r -th moment L -function for \mathcal{G}_f is given by*

$$\det(1 - \text{Frob}_\infty T | ([\mathcal{G}_f]^r)^{I_\infty}) = \begin{cases} (1 - (\rho(-1)q)^{r/2}T)^{d-1} & \text{if } 2p|r \\ (1 - (\rho(-1)q)^{r/2}T)^m & \text{if } 2|r, (r, p) = 1 \text{ and } f \text{ has double roots} \\ 1 & \text{otherwise} \end{cases}$$

where $\rho : k^\star \rightarrow \{1, -1\}$ is the quadratic character and m is the number of double roots of f .

Proof. As a representation of the inertia group I_∞ , \mathcal{G}_f is the direct sum $\bigoplus_{i=1}^{d-1} (\mathcal{L}_{\psi_{s_i}} \otimes \mathcal{L}_\rho)$ where $\psi_{s_i}(t) = \psi(s_i t)$. So in the Grothendieck group of $\bar{\mathbb{Q}}_\ell[I_\infty]$ -modules we have $[\mathcal{G}_f]^r = \bigoplus_{i=1}^{d-1} (\mathcal{L}_{\psi_{s_i}}^{\otimes r} \otimes \mathcal{L}_\rho^{\otimes r}) = \bigoplus_{i=1}^{d-1} (\mathcal{L}_{\psi_{rs_i}} \otimes \mathcal{L}_{\rho^r})$. The term $(\mathcal{L}_{\psi_{rs_i}} \otimes \mathcal{L}_{\rho^r})$ is trivial if and only if ρ^r and ψ_{rs_i} are both trivial, that is, if and only if r is even and $rs_i = 0$. That can only happen when either r is divisible by $2p$ or r is even and $s_i = 0$.

In the first case the inertia group I_∞ acts trivially on every term, and the Frobenius eigenvalues are all equal to $(\pm g(\rho, \psi))^r = g(\rho, \psi)^r = (\rho(-1)q)^{r/2}$ by proposition 2.3. In the second case, if $(r, p) = 1$, the inertia group only acts trivially on the m terms for which $s_i = 0$, and the corresponding Frobenius eigenvalue is again $(\rho(-1)q)^{r/2}$. \square

We now give some geometric conditions on f that ensure that the trivial factors $P(T)$ and $P'(T)$ disappear:

Proposition 3.3. *Suppose that f' is square-free, and either:*

- (1) r is odd, or
- (2) the hypersurface defined by $f(x_1) + \cdots + f(x_r) = 0$ in \mathbb{A}_k^r is non-singular.

Then $P(T) = P'(T) = 1$.

Proof. We will check that, for every $i = 0, \dots, r$, the action of $\pi_1(\mathbb{G}_{m, \bar{k}})$ on the sheaf $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ has no non-zero invariants. Since $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ is a subsheaf of $\bigotimes^r \mathcal{G}_f$ for every i , it suffices to prove it for the latter.

By Proposition 2.3, the inertia group I_∞ acts on \mathcal{G}_f through the direct sum of the characters $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{f(z)}}$ for every root z of f' . Therefore it acts on its r -th tensor power as the direct sum of the characters $\mathcal{L}_{\rho^r} \otimes \mathcal{L}_{\psi_{f(z_1) + \cdots + f(z_r)}}$ for all r -tuples (z_1, \dots, z_r) of roots of f' . If r is odd, none of these is the trivial character, since $\mathcal{L}_{\rho^r} = \mathcal{L}_\rho$ (which is totally and tamely ramified at infinity) can not be isomorphic to \mathcal{L}_{ψ_t} (which is either trivial or totally wild

at infinity) for any t . If the hypersurface $f(x_1) + \cdots + f(x_r) = 0$ is non-singular, the sums $f(z_1) + \cdots + f(z_r)$ are always non-zero, and therefore $\mathcal{L}_{\rho^r} \otimes \mathcal{L}_{\psi_{f(z_1)+\cdots+f(z_r)}}$ is totally wild at infinity.

In either case, $\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f$ has no non-zero invariants under the action of I_∞ and, a fortiori, under the action of the larger group $\pi_1(\mathbb{G}_{m,\bar{k}})$. \square

Corollary 3.4. *Let $f \in k[x]$ be a polynomial of degree d prime to $p > 2$ and r a positive integer. Suppose that f' is square-free. If r is even, suppose additionally that the hypersurface defined by $f(x_1) + \cdots + f(x_r) = 0$ in \mathbb{A}_k^r is non-singular. Then the number $N_r(f)$ of k_r -rational points on the curve*

$$y^q - y = f(x)$$

satisfies the estimate

$$|N_r(f) - q^r| \leq C_{d,r} q^{\frac{r+1}{2}}$$

where

$$C_{d,r} = \sum_{i=0}^r |i-1| \binom{d-2+r-i}{r-i} \binom{d-1}{i}$$

is independent of q .

Proof. Under the hypotheses of the corollary, the previous result shows that $\pi_1(\mathbb{G}_{m,\bar{k}})$ has no non-zero invariants on $\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f$. Therefore, $H_c^2(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f) = 0$, and formula 5 reduces to

$$L^r(f, \psi, T) = \prod_{i=0}^r \det(1 - \mathrm{Frob}_k T | H_c^1(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f))^{(-1)^{i-1}(i-1)}$$

In particular, by 4,

$$N_r(f) - q^r = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \mathrm{Trace}(\mathrm{Frob}_k | H_c^1(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f)).$$

Since $H_c^1(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f)$ is mixed of weight $\leq r+1$, we get the estimate

$$|N_r(f) - q^r| \leq \left(\sum_{i=0}^r |i-1| \cdot \dim(H_c^1(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f)) \right) \cdot q^{\frac{r+1}{2}}.$$

Since H_c^1 is the only non-zero cohomology group of $\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f$, we have

$$\begin{aligned} \dim H_c^1(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f) &= -\chi(\mathbb{G}_{m,\bar{k}}, \mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f) = \\ &= \mathrm{Swan}_\infty(\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f) \end{aligned}$$

by the Grothendieck-Néron-Ogg-Shafarevic formula, since $\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f$ is tamely ramified at 0. Now by 2.3, all slopes at infinity of $\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f$ are 0 or 1, so

$$\mathrm{Swan}_\infty(\mathrm{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i\mathcal{G}_f) \leq$$

$$\leq \text{rank}(\text{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i \mathcal{G}_f) = \binom{d-2+r-i}{r-i} \binom{d-1}{i}.$$

The proof is complete. \square

The non-singularity condition is generic on f if r is not a multiple of p : in fact, there can be at most $\binom{d+r-2}{r}$ values of $\lambda \in \bar{k}$ for which $f(x) + \lambda$ does not satisfy the condition. If r is divisible by p , then $f(x_1) + \cdots + f(x_r) = 0$ always defines a singular affine hypersurface and thus Theorem 1.1 is empty if r is further even. In such cases, we can use the refinement in next section.

4. REFINEMENTS USING GLOBAL MONODROMY.

In this section we will relax the hypotheses of Corollary 3.4 using Katz's computation of the global monodromy of \mathcal{G}_f . In particular, we will give conditions on f that make the given bound hold for any r .

Let $G = \overline{\pi_1(\mathbb{G}_{m,\bar{k}})}^{Zar} \subseteq GL(V)$ be the geometric monodromy group of \mathcal{G}_f , where V is its generic stalk. Let z_1, \dots, z_{d-1} be the roots of f' in \bar{k} , let $s_i = f(z_i)$ and $s = s_1 + \cdots + s_{d-1}$.

Proposition 4.1. *Suppose that $p > 2d - 1$ and the $(d-1)(d-2)$ numbers $s_i - s_j$ for $i \neq j$ are all distinct. Then G is given by*

$$\begin{cases} SL(V) & \text{if } d \text{ is odd and } s = 0 \\ GL_p(V) & \text{if } d \text{ is odd and } s \neq 0 \\ GL_2(V) = \pm SL(V) & \text{if } d \text{ is even and } s = 0 \\ GL_{2p}(V) & \text{if } d \text{ is even and } s \neq 0 \end{cases}$$

where $GL_m(V) = \{A \in GL(V) \mid \det(A)^m = 1\}$.

Proof. The hypothesis forces the s_i to be distinct (otherwise 0 would appear at least twice as a difference of two critical values). Since $p > d$, [8, Lemma 7.10.2.3] shows that \mathcal{F}_f is a geometrically irreducible tame reflection sheaf. Then by [8, Theorem 7.9.6], G must contain $SL(V)$. Since $SL(V)$ is connected, it must be contained in the unit connected component G_0 of G . On the other hand, since \mathcal{G}_f is also geometrically irreducible (since Fourier transform preserves irreducibility), G_0 is a semisimple algebraic group [3, Corollaire 1.3.9] so it must be $SL(V)$. In order to determine G completely, we only need to know the image of its determinant, but by Corollary 2.4 we know it is trivial for d odd and $s = 0$ and the group of p -th roots (respectively square roots, $2p$ -th roots) of unity for d odd and $s \neq 0$ (resp. d even and $s = 0$, d even and $s \neq 0$). \square

Corollary 4.2. *Under the hypotheses of Proposition 4.1, for any integer $r \geq 1$ the number $N_r(f)$ of k_r -rational points on the curve*

$$y^q - y = f(x)$$

satisfies the estimate

$$|N_r(f) - q^r| \leq C_{d,r} q^{\frac{r+1}{2}}$$

where

$$C_{d,r} = \sum_{i=0}^r |i-1| \binom{d-2+r-i}{r-i} \binom{d-1}{i},$$

unless d is odd, $s = 0$ and $r = d-1$, in which case there exists $\beta = \pm 1$ such that $N_r(f)$ satisfies the estimate

$$|N_r(f) - (q^r + \beta q^{\frac{r+1}{2}})| \leq C_{d,r} q^{\frac{r+1}{2}}.$$

Moreover, if k contains all d -th roots of unity then $\beta = 1$.

Proof. For the first statement, we only need to show that $\pi_1(\mathbb{G}_{m,\bar{k}})$ has no non-zero invariants on $\text{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ for any i , the result follows exactly as in Corollary 3.4. Equivalently, we need to show that $\text{Sym}^{r-i}V \otimes \wedge^i V$ has no non-zero invariants under the action of G .

As a representation of $SL(V)$, we have

$$\text{Sym}^{r-i}V \otimes \wedge^i V = \text{Hom}(\wedge^i V^*, \text{Sym}^{r-i}V) = \text{Hom}(\wedge^{d-1-i}V, \text{Sym}^{r-i}V)$$

whose invariant subspace, for $i \geq 0$, is 0 except in the cases $r-i = d-1-i = 0$ and $r-i = d-1-i = 1$, where it is one-dimensional. In particular, $SL(V)$ (and, a fortiori, G) has no non-zero invariants on $\text{Sym}^{r-i}V \otimes \wedge^i V$ for any $i \geq 0$ if $r \neq d-1$.

Suppose that $r = d-1$, and let W_i be the one-dimensional subspace of $\text{Sym}^{r-i}V \otimes \wedge^i V$ invariant under $SL(V)$, for $i = r-1$ or $i = r$. The factor group $G/SL(V) = \mu_m$ acts on W_i , where m is given in the previous Proposition. Let $A = \text{diag}(\zeta, \dots, \zeta) \in G$ be a scalar matrix, where $\zeta \in \bar{\mathbb{Q}}_\ell$ is a primitive $m(d-1)$ -th root of unity. Then the class of A generates the cyclic group $G/SL(V)$, so G fixes W_i if and only if A does. But A acts on W_i by multiplication by ζ^r , so this action is trivial if and only if $\zeta^r = 1$, that is, if and only if $m(d-1)$ divides $r = d-1$, which can only happen for $m = 1$, that is, in the case where d is odd and $s = 0$.

It remains to prove the second estimate in this case. Since $G = SL(V)$, the determinant of \mathcal{G}_f is geometrically trivial, so it is $(q^{\frac{d-1}{2}}\beta)^{\deg}$ for some β with $|\beta| = 1$. For $i = r$, $\text{Sym}^{r-i}V \otimes \wedge^i V = \wedge^{d-1}V = \det V$ and therefore Frobenius acts by multiplication by $q^{\frac{d-1}{2}}\beta$. For $i = r-1$, $\text{Sym}^{r-i}V \otimes \wedge^i V = V \otimes \wedge^{d-2}V = \text{Hom}(V, V) \otimes \det V$ and the G -invariant part is again $\det V$, on which Frobenius acts by multiplication by $q^{\frac{d-1}{2}}\beta$. We conclude that

$$\begin{aligned} & \prod_{i=0}^r \det(1 - \text{Frob}_k T | H_c^2(\mathbb{G}_{m,\bar{k}}, \text{Sym}^{r-i}\mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))^{(-1)^{i-1}(i-1)} \\ &= \det(1 - \text{Frob}_k T | (\det \mathcal{G}_f)(-1))^{(-1)^{r-2}(r-2) + (-1)^{r-1}(r-1)} \\ &= (1 - q^{\frac{r}{2}+1}\beta T)^{(-1)^{r-1}} = (1 - q^{\frac{r}{2}+1}\beta T)^{-1} \end{aligned}$$

since $r-1 = d-2$ is odd.

From equation (5) we then get that

$$\frac{L^r(f, \psi, T)}{1 - q^{\frac{r}{2}+1}\beta T} = \prod_{i=0}^r \det(1 - \text{Frob}_k T | H_c^1(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)^{(-1)^{i-1}(i-1)})$$

and, in particular, by (4)

$$N_r(f) - q^r - \beta q^{\frac{r}{2}+1} = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \text{Trace}(\text{Frob}_k | H_c^1(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)).$$

But $L^r(f, \psi, T)$ has real coefficients (since taking complex conjugate is the same as replacing f by $-f$ or, equivalently, taking the pull-back of \mathcal{G}_f under the automorphism $t \mapsto -t$, so it gives the same L^r). Since $q^{\frac{r}{2}+1}\beta$ is its only reciprocal root of weight $r+2$, we conclude that $\beta = \pm 1$. Moreover, if k contains all d -th roots of unity then $\beta = 1$ by Corollary 2.4.

Using that $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ is pure of weight r , we obtain the estimate

$$|N_r(f) - (q^r + \beta q^{\frac{r}{2}+1})| \leq \left(\sum_{i=0}^r |i-1| \cdot \dim(H_c^1(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)) \right) \cdot q^{\frac{r+1}{2}}$$

We conclude as in corollary 3.4 using that, for the two values of i for which $H_c^2(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f)$ is one-dimensional, the sheaf $\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$ has at least one slope equal to 0 at infinity, and therefore

$$\begin{aligned} & \dim H_c^1(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f) \\ &= -\chi(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f) + \dim H_c^2(\mathbb{G}_{m, \bar{k}}, \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f) \\ &= \text{Swan}_\infty(\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f) + 1 \leq \text{rank}(\text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f). \end{aligned}$$

□

The hypothesis of proposition 4.1 can easily be checked from the coefficients of f : Let $A_{f'}$ be the companion matrix of f' , and $B = f(A_{f'})$. The eigenvalues of the $(d-1) \times (d-1)$ matrix B are s_1, \dots, s_{d-1} , and its trace is s . Next we construct the $(d-1)^2 \times (d-1)^2$ matrix $B \otimes I_{d-1} - I_{d-1} \otimes B$, whose eigenvalues are all differences $s_i - s_j$. Its characteristic polynomial is then of the form $T^{d-1}g(T)$. The hypothesis of proposition 4.1 are equivalent to the discriminant of $g(T)$ being non-zero.

We will now deal with an important class of polynomials to which 4.1 does not apply.

Definition 4.3. *We say that a polynomial $f \in k[x]$ is quasi-odd if there exist $a, b \in k$ such that $f(a-x) = b - f(x)$. In this case, the degree d is necessarily odd.*

Notice that a and b are then uniquely determined: if $f = c_d x^d + \dots + c_1 x + c_0$, $a = \frac{-2c_{d-1}}{dc_d}$ and $b = 2f(\frac{a}{2})$. If f is quasi-odd, the set of critical values of the map $f : \mathbb{A}_k^1 \rightarrow \mathbb{A}_k^1$ is invariant under the involution $s \mapsto b - s$. In particular, their sum is $\frac{b(d-1)}{2}$.

Lemma 4.4. *If there is $a \in k$ such that $f(a - x) = -f(x)$, the Tate-twisted sheaf $\mathcal{G}_f(1/2)$ on $\mathbb{G}_{m,k}$ is self-dual.*

Proof. Since $\mathcal{G}_{f(x-c)} \cong \mathcal{G}_{f(x)}$ for any $c \in k$, we may assume that f is odd. The automorphism $x \mapsto -x$ induces an isomorphism $\mathcal{F}_f \cong [-1]^* \mathcal{F}_f = \mathcal{F}_{-f}$. Taking Fourier transform, we get an isomorphism $\mathcal{G}_f \cong \mathcal{G}_{-f}$. Composing with the duality pairing (cf. section 3) $\mathcal{G}_f \times \mathcal{G}_{-f} \rightarrow \bar{\mathbb{Q}}_\ell(-1)$ we get a perfect pairing $\mathcal{G}_f \times \mathcal{G}_f \rightarrow \bar{\mathbb{Q}}_\ell(-1)$ or, equivalently, $\mathcal{G}_f(1/2) \times \mathcal{G}_f(1/2) \rightarrow \bar{\mathbb{Q}}_\ell$. \square

Proposition 4.5. *Let $f \in k[x]$ be quasi-odd. Label the critical values s_i so that $s_{d-i} = b - s_i$ for $i = 1, \dots, d-1$. Suppose that $p > 2d-1$ and the only equalities among the numbers $s_i - s_j$ for $i \neq j$ are $s_i - s_j = s_{d-j} - s_{d-i}$. Then $G = Sp(V)$ if $b = 0$ (if and only if $s = 0$, since $p > d-1$), and $G = \mu_p \cdot Sp(V)$ if $b \neq 0$.*

Proof. The hypothesis forces the s_i to be distinct: if $s_i = s_j$ for $i \neq j$ then $s_i - s_j = s_j - s_i$, so $i = d-i$ and $j = d-j$, which is impossible since d is odd. Then by [8, Lemma 7.10.2.3] \mathcal{F}_f is a geometrically irreducible tame reflection sheaf. If $b = 0$, we may assume as in the previous lemma that f is odd. The self-duality of $\mathcal{G}_f(1/2)$ is symplectic (it suffices to show it geometrically, and that is done in [8, Lemma 7.10.4]), so we have $G \subseteq Sp(V)$. We now apply [8, Theorem 7.9.7], from which G must contain $SL(V)$, $Sp(V)$ or $SO(V)$, and therefore we must have $G = Sp(V)$.

If $b \neq 0$, $f(x) - \frac{b}{2}$ is quasi-odd with $b = 0$, and $\mathcal{G}_f = \mathcal{G}_{f-b/2} \otimes \mathcal{L}_{\psi_{b/2}}$. Let $H \subseteq \pi_1(\mathbb{G}_{m,\bar{k}})$ be the kernel of the character $\mathcal{L}_{\psi_{b/2}}$, it is an open normal subgroup of index p and the restrictions of the representations \mathcal{G}_f and $\mathcal{G}_{f-b/2}$ to H are isomorphic. Since the monodromy group of $\mathcal{G}_{f-b/2}$ is $Sp(V)$, which does not have open subgroups of finite index, the closure of the image of H on $GL(V)$ under \mathcal{G}_f is the whole $Sp(V)$. Therefore, $Sp(V) \subseteq G$ and $G \subseteq \mu_p \cdot Sp(V)$, since $\pi_1(\mathbb{G}_{m,\bar{k}})$ acts via $\mathcal{L}_{\psi_{b/2}}$ by multiplication by p -th roots of unity. Since the determinant of G is non-trivial by Corollary 2.4, it must be $\mu_p \cdot Sp(V)$. \square

Corollary 4.6. *Under the hypotheses of Proposition 4.5, for any integer $r \geq 1$ the number $N_r(f)$ of k_r -rational points on the curve*

$$y^q - y = f(x)$$

satisfies the estimate

$$|N_r(f) - q^r| \leq C_{d,r} q^{\frac{r+1}{2}}$$

where

$$C_{d,r} = \sum_{i=0}^r |i-1| \binom{d-2+r-i}{r-i} \binom{d-1}{i},$$

unless $r \leq d-1$ is even and either $b = 0$ or p divides r , in which case it satisfies the estimate

$$|N_r(f) - (q^r + q^{\frac{r}{2}+1})| \leq C_{d,r} q^{\frac{r+1}{2}}.$$

Proof. As a representation of $Sp(V)$, we have

$$\mathrm{Sym}^{r-i}V \otimes \wedge^i V = \mathrm{Hom}(\wedge^i V, \mathrm{Sym}^{r-i}V)$$

whose invariant subspace, by [9, lemma on p.62], is 0 except when i is odd, $r = i + 1$ and $i \leq d - 1$, or when i is even, $r = i$ and $i \leq d - 1$. In particular, since d is odd, G has no non-zero invariants on $\mathrm{Sym}^{r-i}V \otimes \wedge^i V$ for any i if r is odd or $r > d - 1$.

Suppose from now on that $r \leq d - 1$ is even, and let W_i be the one-dimensional subspace of $\mathrm{Sym}^{r-i}V \otimes \wedge^i V$ invariant under $Sp(V)$, for $i = r - 1$ or $i = r$. Consider the case where $b = 0$ first. Since $\mathcal{G}_f(1/2)$ is self-dual, all Frobenius images are in $Sp(V) = G$. In particular, all Frobenii act trivially on $W_i(r/2)$, and therefore they act by multiplication by $q^{\frac{r}{2}}$ on $W_i \subseteq \bigotimes^r V$. Therefore

$$\begin{aligned} & \prod_{i=0}^r \det(1 - \mathrm{Frob}_k T | H_c^2(\mathbb{G}_{m, \bar{k}}, \mathrm{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))^{(-1)^{i-1}(i-1)} \\ &= \det(1 - \mathrm{Frob}_k T | W_{r-1}(-1))^{(-1)^{r-2}(r-2)} \det(1 - \mathrm{Frob}_k T | W_r(-1))^{(-1)^{r-1}(r-1)} \\ &= (1 - q^{\frac{r}{2}+1} T)^{(-1)^{r-2}(r-2) + (-1)^{r-1}(r-1)} \\ &= (1 - q^{\frac{r}{2}+1} T)^{(-1)^{r-1}} = (1 - q^{\frac{r}{2}+1} T)^{-1} \end{aligned}$$

since $r - 1 = d - 2$ is odd.

In the case where $b \neq 0$, $G/Sp(V) \cong \mu_p$ acts on W_i . Let

$$A = \mathrm{diag}(\zeta_p, \dots, \zeta_p) \in G$$

be a scalar matrix, where $\zeta_p \in \bar{\mathbb{Q}}_\ell$ is a p -th root of unity. Then the class of A generates $G/Sp(V)$, so G fixes W_i if and only if A does. But A acts on W_i by multiplication by ζ_p^r , so this action is trivial if and only if $\zeta_p^r = 1$, that is, if and only if p divides r . In that case, $\mathrm{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f = (\mathrm{Sym}^{r-i} \mathcal{G}_{f-b/2} \otimes \wedge^i \mathcal{G}_{f-b/2}) \otimes \mathcal{L}_{\psi_{b/2}}^{\otimes r} = \mathrm{Sym}^{r-i} \mathcal{G}_{f-b/2} \otimes \wedge^i \mathcal{G}_{f-b/2}$, so we can apply the $b = 0$ case and we get again

$$\begin{aligned} & \prod_{i=0}^r \det(1 - \mathrm{Frob}_k T | H_c^2(\mathbb{G}_{m, \bar{k}}, \mathrm{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f))^{(-1)^{i-1}(i-1)} = \\ &= (1 - q^{\frac{r}{2}+1} T)^{(-1)^{r-1}} = (1 - q^{\frac{r}{2}+1} T)^{-1}. \end{aligned}$$

We conclude as in corollary 4.2. \square

Again, the hypothesis of proposition 4.5 can be checked from the coefficients of f : After adding a constant, we may assume that $b = 0$. Let $A_{f'}$ be the companion matrix of f' , and $B = f(A_{f'})$. The eigenvalues of the $(d-1) \times (d-1)$ matrix B are s_1, \dots, s_{d-1} , and its trace is $s = \frac{b(d-1)}{2}$. Construct the $(d-1)^2 \times (d-1)^2$ matrix $B \otimes I_{d-1} - I_{d-1} \otimes B$, whose eigenvalues are all differences $s_i - s_j$. Its characteristic polynomial is then of the form $T^{d-1} h(T/2) g(T)^2$, where $h(T)$ is the characteristic polynomial of B , since all non-zero roots different from $s_i - s_{d-i} = 2s_i$ for $i = 1, \dots, d-1$ appear

in pairs. The hypothesis of proposition 4.5 is equivalent to the discriminant of $h(T/2)g(T)$ being non-zero.

5. GENERALIZATION TO ARTIN-SCHREIER HYPERSURFACES

In this section we will extend corollary 3.4 to higher dimensional hypersurfaces. Since the proofs are very similar, we will only sketch them, indicating the differences where necessary.

Let $f \in k[x_1, \dots, x_n]$ be a polynomial of degree d prime to p , C_f the Artin-Schreier hypersurface defined on \mathbb{A}_k^{n+1} by the equation

$$(7) \quad y^q - y = f(x_1, \dots, x_n).$$

Denote by $N_r(f)$ its number of rational points over k_r . We have again a formula

$$(8) \quad N_r(f) - q^{nr} = \sum_{t \in k^\star} \sum_{x \in k_r^n} \psi(t \cdot \text{Tr}(f(x))) = \sum_{t \in k^\star} \sum_{x \in k_r^n} \psi(\text{Tr}(tf(x)))$$

where Tr denotes the trace map $k_r \rightarrow k$. Assume that f is a Deligne polynomial, that is, the leading form of f defines a smooth projective hypersurface of degree d not divisible by p . Applying Deligne's bound [3] to the above inner sum, one deduces that

$$|N_r(f) - q^{nr}| \leq (q-1)(d-1)^n q^{\frac{nr}{2}}.$$

This is precisely Weil's bound in the case $n = 1$. Our purpose of this section is to improve the above bound and obtain the estimate of the following form

$$|N_r(f) - q^{nr}| \leq C_{d,r} q^{\frac{nr+1}{2}},$$

for some constant $C_{d,r}$ depending only on d, r and n .

Define $K_f = R\pi_! \mathcal{L}_{\psi(tf(x))} \in \mathcal{D}_c^b(\mathbb{G}_m, k, \bar{\mathbb{Q}}_\ell)$, where $\pi : \mathbb{G}_m \times \mathbb{A}^n \rightarrow \mathbb{G}_m$ is the projection. The trace formula implies that the trace of the action of the r -th power of a local Frobenius element at $t \in k^\star$ on K_f is given by $\sum_{x \in k_r^n} \psi(\text{Tr}(tf(x)))$. Suppose from now on that the homogeneous part f_d of highest degree of f defines a non-singular hypersurface. Then by [3, 3.7], K_f is a single smooth sheaf \mathcal{G}_f placed in degree n , of rank $(d-1)^n$ and pure of weight n . Therefore

$$N_r(f) - q^{nr} = (-1)^n \sum_{t \in k^\star} \text{Tr}(\text{Frob}_t^r | (\mathcal{G}_f)_t) = (-1)^n \sum_{t \in k^\star} \text{Tr}(\text{Frob}_t | [\mathcal{G}_f]_t^r)$$

where

$$[\mathcal{G}_f]^r = \sum_{i=0}^r (-1)^{i-1} (i-1) \cdot \text{Sym}^{r-i} \mathcal{G}_f \otimes \wedge^i \mathcal{G}_f$$

is the r -th Adams operation on \mathcal{G}_f .

We can give an interpretation of \mathcal{G}_f in terms of the Fourier transform like we did in the one-dimensional case. Exactly as in lemma 2.1, we can show

Lemma 5.1. *The object $\mathcal{G}_f[1] \in \mathcal{D}_c^b(\mathbb{G}_m, \bar{\mathbb{Q}}_\ell)$ is the restriction to \mathbb{G}_m of the Fourier transform of $Rf_! \bar{\mathbb{Q}}_\ell[n]$ with respect to ψ .*

We compactify f via the map $\tilde{f} : X \rightarrow \mathbb{A}_k^1$, where $X \subseteq \mathbb{P}^n \times \mathbb{A}^1$ is defined by the equation $F(x_0, x_1, \dots, x_n) = tx_0^d$, F being the homogenization of f with respect to the variable x_0 , and \tilde{f} the restriction of the second projection to X . Suppose that the subscheme of \mathbb{A}_k^n defined by the ideal $\langle \partial f / \partial x_1, \dots, \partial f / \partial x_n \rangle$ is finite étale over k , and the images of its \bar{k} -points under f are distinct. Then for every $s \in \bar{k}$, the fibre X_s has at worst one isolated non-degenerate quadratic singularity, which is located on the affine part (since the part at infinity is defined for every fibre by $f_d(x) = 0$ and is therefore non-singular).

We have a distinguished triangle

$$Rf_! \bar{\mathbb{Q}}_\ell \rightarrow R\tilde{f}_* \bar{\mathbb{Q}}_\ell \rightarrow R(\tilde{f}|_{X_0})_* \bar{\mathbb{Q}}_\ell \rightarrow$$

where $X_0 = X \setminus \mathbb{A}^n \cong Y \times \mathbb{A}^1$, Y being the smooth hypersurface defined in \mathbb{P}^{n-1} by $f_d = 0$. Since $R(\tilde{f}|_{X_0})_* \bar{\mathbb{Q}}_\ell$ is just the constant object $R\Gamma(Y, \bar{\mathbb{Q}}_\ell)$, its Fourier transform is supported at 0. So

$$\mathcal{G}_f[1] \cong (FT_\psi Rf_! \bar{\mathbb{Q}}_\ell[n])|_{\mathbb{G}_{m,k}} \cong (FT_\psi R\tilde{f}_* \bar{\mathbb{Q}}_\ell[n])|_{\mathbb{G}_{m,k}}.$$

Proposition 5.2. *Suppose $p > 2$. Under the previous hypotheses, let $z_1, \dots, z_{(d-1)^n} \in \mathbb{A}_k^n$ be the distinct points such that $\frac{\partial f}{\partial x_i}(z_j) = 0$ for all $i = 1, \dots, n$, and let $s_i = f(z_i)$. The action of the inertia group I_∞ at infinity on \mathcal{G}_f decomposes as a direct sum $\bigoplus \mathcal{L}_{\psi_{s_i}}$ if n is even, and $\bigoplus (\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{s_i}})$ if n is odd, where ρ is the unique character of I_∞ of order 2.*

Proof. We will obtain, for every i , a factor $\mathcal{L}_{\psi_{s_i}}$ (resp. $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{s_i}}$) in the local monodromy of \mathcal{G}_f at infinity. Since the rank is $(d-1)^n$ and these characters are pairwise non-isomorphic, this will determine the action of I_∞ completely.

Let $S = \{s_i | i = 1, \dots, (d-1)^n\}$, and $U = \mathbb{A}^1 \setminus S$. Since \tilde{f} is proper and smooth over U , $R^i \tilde{f}_* \bar{\mathbb{Q}}_\ell$ is smooth on U for every i . Since X_s contains one isolated non-degenerate quadratic singularity for each $s \in S$, by [1, 4.4] the sheaves $R^i \tilde{f}_* \bar{\mathbb{Q}}_\ell$ are smooth on \mathbb{A}^1 for $i \neq n-1, n$. In particular, their Fourier transforms are supported at 0. We conclude that there is a distinguished triangle

$$(FT_\psi R^{n-1} \tilde{f}_* \bar{\mathbb{Q}}_\ell[1])|_{\mathbb{G}_{m,k}} \rightarrow \mathcal{G}_f[1] \rightarrow (FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0])|_{\mathbb{G}_{m,k}} \rightarrow$$

and therefore an exact sequence of sheaves

$$(9) \quad 0 \rightarrow \mathcal{H}^{-1}(FT_\psi R^{n-1} \tilde{f}_* \bar{\mathbb{Q}}_\ell[1])|_{\mathbb{G}_{m,k}} \rightarrow \mathcal{G}_f \rightarrow \mathcal{H}^{-1}(FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0])|_{\mathbb{G}_{m,k}} \rightarrow \\ \rightarrow \mathcal{H}^0(FT_\psi R^{n-1} \tilde{f}_* \bar{\mathbb{Q}}_\ell[1])|_{\mathbb{G}_{m,k}} \rightarrow 0$$

since $FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0]$ can only have non-zero cohomology sheaves in degrees 1, 0 and -1 . Furthermore $\mathcal{H}^0(FT_\psi R^{n-1} \tilde{f}_* \bar{\mathbb{Q}}_\ell[1])$ is punctual, so this induces an exact sequence of I_∞ -representations

$$(10) \quad 0 \rightarrow \mathcal{H}^{-1}(FT_\psi R^{n-1} \tilde{f}_* \bar{\mathbb{Q}}_\ell[1]) \rightarrow \mathcal{G}_f \rightarrow \mathcal{H}^{-1}(FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0]) \rightarrow 0.$$

Let V be the generic stalk of $R^{n-1}\tilde{f}_*\bar{\mathbb{Q}}_\ell$. Suppose that n is odd, and let $s \in S$. Then by [1, 4.3 and 4.4], the inertia group I_s acts on V with invariant space V_{I_s} of codimension 1 (the orthogonal complement of the 'vanishing cycle' δ) and on the quotient V/V_{I_s} via its quadratic character ρ . Moreover, $R^{n-1}\tilde{f}_*\bar{\mathbb{Q}}_\ell$ is isomorphic at s to the extension by direct image of its restriction to the generic point. By Laumon's local Fourier transform [8, Section 7.4], the action of the inertia group I_∞ on $\mathcal{H}^{-1}(FT_\psi R^{n-1}\tilde{f}_*\bar{\mathbb{Q}}_\ell[1])$ (and thus on \mathcal{G}_f by (10)) contains a subcharacter isomorphic to $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$.

Suppose now that n is even, and let $s \in S$. By [1, 4.3 and 4.4], there are two possibilities: if the 'vanishing cycle' δ is non-zero, the inertia group I_s acts on V with invariant space V_{I_s} of codimension 1 (the orthogonal complement of δ) and trivially on the quotient V/V_{I_s} . Moreover, $R^{n-1}\tilde{f}_*\bar{\mathbb{Q}}_\ell$ is isomorphic at s to the extension by direct image of its restriction to the generic point. By Laumon's local Fourier transform [8, Section 7.4], the action of the inertia group I_∞ on $\mathcal{H}^{-1}(FT_\psi R^{n-1}\tilde{f}_*\bar{\mathbb{Q}}_\ell[1])$ (and thus on \mathcal{G}_f by (10)) contains a subcharacter isomorphic to \mathcal{L}_{ψ_s} .

If $\delta = 0$, then I_s acts trivially on V , and there is an exact sequence of sheaves:

$$0 \rightarrow (\bar{\mathbb{Q}}_\ell)_s \rightarrow R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell \rightarrow j_{s*} j_s^* R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell \rightarrow 0$$

where $(\bar{\mathbb{Q}}_\ell)_s$ is the punctual object $\bar{\mathbb{Q}}_\ell$ supported on s and $j_s : \mathbb{A}^1 - \{s\} \hookrightarrow \mathbb{A}^1$ is the inclusion. Taking Fourier transform, we deduce a distinguished triangle

$$\mathcal{L}_{\psi_s}[1] \rightarrow FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0] \rightarrow FT_\psi j_{s*} j_s^* R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0] \rightarrow$$

and in particular an injection

$$0 \rightarrow \mathcal{L}_{\psi_s} \rightarrow \mathcal{H}^{-1}(FT_\psi R^n \tilde{f}_* \bar{\mathbb{Q}}_\ell[0]).$$

By (10), this gives a subcharacter isomorphic to \mathcal{L}_{ψ_s} in the monodromy of \mathcal{G}_f at infinity. \square

For completeness, we determine also the monodromy of \mathcal{G}_f at 0.

Proposition 5.3. *The inertia group I_0 at 0 acts on \mathcal{G}_f as a direct sum $\bigoplus n_\chi \mathcal{L}_\chi$ where the sum is taken over all characters χ of I_0 such that χ^d is trivial, $n_\chi = \frac{1}{d}((d-1)^n - (-1)^n)$ if χ is non-trivial and $n_\chi = (-1)^n + \frac{1}{d}((d-1)^n - (-1)^n)$ if χ is trivial.*

Proof. We will show that, for every χ , the action of I_0 on \mathcal{G}_f contains n_χ Jordan blocks for the character χ . Since these numbers add up to $(d-1)^n$, which is the dimension of the representation \mathcal{G}_f , this will prove that the action is semisimple and determine it completely.

Let χ be non-trivial such that $\chi^d = 1$. Since adding a constant a to f corresponds to tensoring \mathcal{G}_f with the Artin-Schreier sheaf \mathcal{L}_{ψ_a} and this does not change the monodromy at 0, we can assume that \mathcal{G}_f is totally wild at ∞ (or equivalently, that the hypersurface $f(x) = 0$ is non-singular). Then so is $\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}$. The number of Jordan blocks associated of \mathcal{L}_χ in the representation of I_0 given by \mathcal{G}_f is the dimension of the I_0 -invariant subspace of $\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}$.

If $j : \mathbb{G}_{m,\bar{k}} \rightarrow \mathbb{A}_{\bar{k}}^1$ and $i : \{0\} \rightarrow \mathbb{A}_{\bar{k}}^1$ are the inclusions, we have an exact sequence

$$0 \rightarrow j_!(\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}) \rightarrow j_*(\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}) \rightarrow i_* i^* j_*(\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}) \rightarrow 0$$

and therefore

$$0 \rightarrow (\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}})^{I_0} \rightarrow H_c^1(\mathbb{G}_{m,\bar{k}}, \mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}) \rightarrow H_c^1(\mathbb{A}_{\bar{k}}^1, j_*(\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}})) \rightarrow 0.$$

Since $\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}$ is totally wild at ∞ , the latter cohomology group is pure of weight $n+1$. So the dimension of $(\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}})^{I_0}$ is the dimension of the weight $\leq n$ part of $H_c^1(\mathbb{G}_{m,\bar{k}}, \mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}})$.

By the projection formula,

$$\mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}} = (R^n \pi_! \mathcal{L}_{\psi(tf(x))}) \otimes \mathcal{L}_{\bar{\chi}} \cong R^n \pi_! (\mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)}),$$

so

$$H_c^1(\mathbb{G}_{m,\bar{k}}, \mathcal{G}_f \otimes \mathcal{L}_{\bar{\chi}}) = H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)})$$

since $R^i \pi_! \mathcal{L}_{\psi(tf(x))} = 0$ for $i \neq n$. Let $Z \subset \mathbb{A}_{\bar{k}}^n$ be the closed subset defined by $f(x) = 0$ and U its open complement. The sheaf $\mathcal{L}_{\psi(tf(x))}$ is trivial on $\mathbb{G}_m \times Z$, so $H_c^*(\mathbb{G}_{m,\bar{k}} \times Z, \mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)}) = H_c^*(\mathbb{G}_{m,\bar{k}} \times Z, \mathcal{L}_{\bar{\chi}(t)}) = H_c^*(\mathbb{G}_{m,\bar{k}}, \mathcal{L}_{\bar{\chi}}) \otimes H_c^*(Z \otimes \bar{k}, \bar{\mathbb{Q}}_\ell) = 0$ since χ is non-trivial. By excision we get an isomorphism $H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)}) \cong H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times U, \mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)})$.

Consider the automorphism $\phi : \mathbb{G}_m \times U \rightarrow \mathbb{G}_m \times U$ given by $\phi(t, x) = (tf(x), x)$. Then $\phi_*(\mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)}) = \mathcal{L}_{\psi(t)} \otimes \mathcal{L}_{\bar{\chi}(t/f(x))} = \mathcal{L}_{\psi(t)} \otimes \mathcal{L}_{\bar{\chi}(t)} \otimes \mathcal{L}_{\chi(f(x))}$. So

$$H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times U, \mathcal{L}_{\psi(tf(x))} \otimes \mathcal{L}_{\bar{\chi}(t)}) \cong H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times U, \mathcal{L}_{\psi(t)} \otimes \mathcal{L}_{\bar{\chi}(t)} \otimes \mathcal{L}_{\chi(f(x))})$$

which, by Künneth, is isomorphic to $H_c^1(\mathbb{G}_{m,\bar{k}}, \mathcal{L}_{\psi} \otimes \mathcal{L}_{\bar{\chi}}) \otimes H_c^n(U \otimes \bar{k}, \mathcal{L}_{\chi(f)})$ (since $H_c^i(\mathbb{G}_{m,\bar{k}}, \mathcal{L}_{\psi} \otimes \mathcal{L}_{\bar{\chi}}) = 0$ for $i \neq 1$). The first factor is one-dimensional and pure of weight 1, so we want the dimension of the weight $\leq n-1$ part of $H_c^n(U \otimes \bar{k}, \mathcal{L}_{\chi(f)})$. By [10, Theorem 2.2], this dimension is $n_\chi = \frac{1}{d}((d-1)^n - (-1)^n)$.

Similarly, if $\chi = \mathbf{1}$ is the trivial character, the searched dimension is the dimension of the weight $\leq n$ part of $H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))})$. From the exact sequence

$$\begin{aligned} \dots &\rightarrow H_c^n(\{0\} \times \mathbb{A}_{\bar{k}}^n, \bar{\mathbb{Q}}_\ell) \rightarrow H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))}) \rightarrow \\ &\rightarrow H_c^{n+1}(\mathbb{A}_{\bar{k}}^1 \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))}) \rightarrow H_c^{n+1}(\{0\} \times \mathbb{A}_{\bar{k}}^n, \bar{\mathbb{Q}}_\ell) \rightarrow \dots \end{aligned}$$

we get an isomorphism $H_c^{n+1}(\mathbb{G}_{m,\bar{k}} \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))}) \cong H_c^{n+1}(\mathbb{A}_{\bar{k}}^1 \times \mathbb{A}_{\bar{k}}^n, \mathcal{L}_{\psi(tf(x))})$.

Now let $\pi : \mathbb{A}^1 \times \mathbb{A}^n \rightarrow \mathbb{A}^n$ be the projection, by the base change theorem we have $R^2 \pi_! \mathcal{L}_{\psi(tf(x))} = i_* \bar{\mathbb{Q}}_\ell(-1)$, where $i : Z \rightarrow \mathbb{A}^n$ is the inclusion of the closed set where $f(x) = 0$, and $R^i \pi_! \mathcal{L}_{\psi(tf(x))} = 0$ for $i \neq 2$. So we need the dimension of the weight $\leq n-2$ part of $H_c^{n-1}(Z, \bar{\mathbb{Q}}_\ell)$. Let \bar{Z} be the projective closure of Z and $Z_0 = \bar{Z} \setminus Z$, we have an exact sequence

$$\dots \rightarrow H^{n-2}(\bar{Z}, \bar{\mathbb{Q}}_\ell) \rightarrow H^{n-2}(Z_0, \bar{\mathbb{Q}}_\ell) \rightarrow H_c^{n-1}(Z, \bar{\mathbb{Q}}_\ell) \rightarrow H^{n-1}(\bar{Z}, \bar{\mathbb{Q}}_\ell) \rightarrow \dots$$

Since \bar{Z} is smooth, $H^{n-1}(\bar{Z}, \bar{\mathbb{Q}}_\ell)$ is pure of weight $n-1$, and therefore the weight $\leq n-2$ part of $H_c^{n-1}(Z, \bar{\mathbb{Q}}_\ell)$ is the cokernel of the map $H^{n-2}(\bar{Z}, \bar{\mathbb{Q}}_\ell) \rightarrow H^{n-2}(Z_0, \bar{\mathbb{Q}}_\ell)$, that is, the primitive part $\text{Prim}^{n-2}(Z_0, \bar{\mathbb{Q}}_\ell)$ of the middle cohomology group of Z_0 , which has dimension $n_1 = (-1)^n + \frac{1}{d}((d-1)^n - (-1)^n)$. \square

Corollary 5.4. *Let $s = \sum_{i=1}^{(d-1)^n} s_i$. Over \bar{k} , the determinant of \mathcal{G}_f is the Artin-Schreier sheaf \mathcal{L}_{ψ_s} if $n(d-1)$ is even, and the product $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$ if $n(d-1)$ is odd.*

Proof. The determinant is a smooth sheaf on \mathbb{G}_m of rank 1. At 0, its monodromy is the product of χ^{n_x} for all characters χ of I_0 such that χ^d is trivial. Since the non-trivial characters (except for the quadratic one) appear in conjugate pairs, the product is trivial if d is odd, and comes down to ρ^{n_ρ} , which is ρ or $\mathbf{1}$ depending on the parity of $n_\rho = \frac{1}{d}((d-1)^n - (-1)^n)$, which is congruent to $n \bmod 2$, if d is even.

At infinity, its monodromy is the product of the $\mathcal{L}_{\psi_{s_i}}$ (resp. of the $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_{s_i}}$) if n is even (resp. if n is odd), which is \mathcal{L}_{ψ_s} (resp. $\mathcal{L}_\rho \otimes \mathcal{L}_{\psi_s}$) if $n(d-1)$ is even (resp. if $n(d-1)$ is odd). We conclude as in Corollary 2.4. \square

We now give the higher dimensional analogue of Corollary 3.4:

Corollary 5.5. *Let $f \in k[x_1, \dots, x_n]$ be a polynomial of degree d prime to p and r a positive integer. Suppose that $p > 2$, the highest degree homogeneous part of f defines a non-singular hypersurface, the subscheme of \mathbb{A}_k^n defined by the ideal $\langle \partial f / \partial x_1, \dots, \partial f / \partial x_n \rangle$ is finite étale over k and the images of its k -points under f are distinct. If nr is even, suppose additionally that the hypersurface defined by $f(x_{1,1}, \dots, x_{1,n}) + \dots + f(x_{r,1}, \dots, x_{r,n}) = 0$ in $\mathbb{A}_k^{nr} = \text{Spec } k[x_{i,j} | 1 \leq i \leq r, 1 \leq j \leq n]$ is non-singular. Then the number $N_r(f)$ of k_r -rational points on the hypersurface*

$$y^q - y = f(x_1, \dots, x_n)$$

satisfies the estimate

$$|N_r(f) - q^{nr}| \leq C_{d,r} q^{\frac{nr+1}{2}}$$

where

$$C_{d,r} = \sum_{i=0}^r |i-1| \binom{(d-1)^n + r - i - 1}{r-i} \binom{(d-1)^n}{i}$$

is independent of q .

The proof is identical to the one of Corollary 3.4, using Proposition 5.2. In the n even case we need the non-singularity hypothesis for any r , since the Kummer factor does not appear in the monodromy at infinity.

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